



PATENT  
Attorney Docket No. ASX-015CP

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chen et al.

SERIAL NO.: 09/774,165 GROUP NO.: 1765

FILING DATE: January 26, 2001 EXAMINER: Not yet assigned.

TITLE: INTEGRATED PLASMA CHAMBER AND INDUCTIVELY-COUPLED TOROIDAL PLASMA SOURCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

AUG 21 2001  
TECHNOLOGY CENTER R3700

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make or forward the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

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REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or

(2) after the period defined in (1) but before the mailing date of a **final action** or a notice of allowance under 37 C.F.R. 1.311, and

the requisite Statement is below, OR

the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included hereinafter or

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Second Supplemental Information Disclosure Statement

Serial No. 09/774,165

Page 2

- (3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, AND
- the requisite Statement is below, AND
- the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

**STATEMENT**

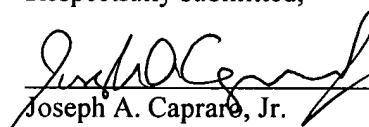
U.S. Patent No. 6,150,628, the parent of this patent application, is the subject of litigation in Delaware, Civil Action No. 00-1004-JJF. The Defendant in the litigation has brought the patent listed on the attached Form PTO-1449 to Applicants attention in Defendant's interrogatory responses.

Respectfully submitted,

Date: July 31, 2001  
Reg. No. 36,471

Tel. No.: (617) 248-7369  
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**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 31st day of July, 2001.

Olivia J. Mannion  
Olivia J. Mannion

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are: Transmittal Form (1 page); Second Supplemental Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); Reference Labeled A69; and a Mailroom Postcard.

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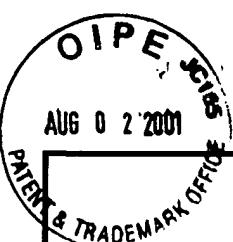
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# TRANSMITTAL FORM

Application Serial Number 09/774,165  
 Filing Date January 26, 2001  
 First Named Inventor Chen et al.  
 Group Art Unit 1765  
 Examiner Name Not yet assigned.  
 Attorney Docket No. ASX-015CP

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences
<input type="checkbox"/> <input type="checkbox"/> Check Attached	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> <input type="checkbox"/> Copy of Fee Transmittal Form		<input type="checkbox"/> Status Letter
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> <input type="checkbox"/> After Final	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Small Entity Statement	
<input checked="" type="checkbox"/> Second Supplemental Information Disclosure Statement Form PTO-1449	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		

## CORRESPONDENCE ADDRESS

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## SIGNATURE BLOCK

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Respectfully Submitted,  
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